

# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number	09/912,609
Filing Date	July 25, 2001
First Named Inventor	Evan C. Unger
Art Unit	1617
Examiner Name	Shahnam J. Sharareh
Attorney Docket Number	5030-0001.24

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ENCLOSURES (Check all that apply)

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<p>Remarks: The Commissioner is hereby authorized to charge any additional or underpayment of fee(s) to Deposit Account No. 18-0580.</p> <p style="text-align: right;">MAR 08 2004</p>		

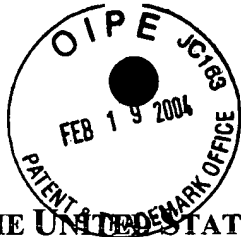
## **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name (print/type)	Shelley P. Eberle, Reg. No. 31,411 Reed & Eberle LLP	Telephone	(650) 330-0900
Signature	<i>Shelley P. Eberle</i>	Date	February 17, 2004

## **CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Name (print/type)	Joe Clark	Date	February 17, 2004
Signature	<i>Joe Clark</i>		



Atty Dkt No. 5030-0001.24  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Evan C. UNGER et al.

Confirmation No.: 8279

Serial No.: 09/912,609

Group Art Unit: 1617

Filing Date: July 25, 2001

Examiner: Shahnam J. Sharareh

Title: NOVEL TARGETED DELIVERY SYSTEMS FOR BIOACTIVE AGENTS

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**MAR 10 2004**

**OFFICE OF PETITIONS**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND**  
**CERTIFICATION UNDER 37 CFR § 1.97(e)**

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**MAR 08 2004**

Sir:

This is a Supplemental Information Disclosure Statement submitted for the Examiner's consideration. Applicants respectfully request that the Examiner review and make of record the references identified below.

The references listed below were cited in an International (PCT) Search Report dated January 22, 2004, for the PCT application corresponding to the above-identified U.S. patent application. A copy of the Search Report, including an indication of the purported relevance of the cited references, is enclosed. Copies of the references are also submitted herewith.

A PTO-1449 form listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. The references are as follows:

U.S. PATENT DOCUMENTS		
Document No.	Issue Date or Publication Date	Name of Patentee or Applicant
5,837,221	11/17/98	Bernstein et al.
5,916,596	6/29/99	Desai et al.
6,232,287	5/15/01	Ruoshlahti et al.

This Supplemental Information Disclosure Statement is not intended as a representation that additional information material to the examination of this application does not exist or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

As this Supplemental Information Disclosure Statement is being filed within three months of the date of the International Search Report (i.e, January 22, 2004), no fee is required at this time. If, for any reason, a fee is found to be necessary, our Deposit Account No. 18-0580 may be charged therefor.

The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 CFR § 1.97(e)(1)).

Respectfully submitted,

By: Shelley R. Eberle  
Shelley R. Eberle  
Registration No. 31,411

REED & EBERLE LLP  
800 Menlo Avenue, Suite 210  
Menlo Park, California 94025  
(650) 330-0900 Telephone  
(650) 330-0980 Facsimile